



ARN-97821

DATE :     /     /

**1. UNIT HOLDER INFORMATION**

**a. EXISTING UNIT HOLDER INFORMATION**

Folio No.

The details in our records under the folio number mentioned alongside will apply for this application.

**b. NAME OF FIRST / SOLE APPLICANT**

Mr. Ms. M/s.

Application Form No.

**2. FIRST/ SOLE APPLICANT OTHER DETAILS (Mandatory)**

**a. Status of First/ Sole Applicant [Please tick (✓)]** ☐ Individual ☐ Non - Individual

☐ Resident Individual ☐ NRI-Repatriation ☐ NRI-Non Repatriation ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ PIO ☐ Company ☐ FII ☐ Minor through guardian ☐ BOI ☐ OCI  
☐ Body Corporate ☐ LLP ☐ Society / Club ☐ FPI ☐ Sole Proprietorship ☐ Non Profit Organisation ☐ Others (please specify) \_\_\_\_\_

**b. Occupation Details [Please tick (✓)]** ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife ☐ Business  
☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others (please specify) \_\_\_\_\_

**c. Gross Annual Income (Rs.) [Please tick (✓)]** ☐ Below 1 Lakh ☐ 1 - 5 Lakhs ☐ 5 - 10 Lakhs ☐ 10 - 25 Lakhs ☐ >25 Lakhs - 1 Crore ☐ >1 Crore  
OR

**c. Net-worth** (Mandatory for Non-Individuals) Rs. \_\_\_\_\_ as on DD MM YYYY (Not older than 1 year)

**d. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

**e. Investors involved/ providing any of the mentioned services**

- ☐ Wholesalers OR ☐ Retailers in Precious metals (in particular buying-selling gold) and gems ☐ Wholesalers OR ☐ Retailers in Luxury cars  
☐ Wholesalers OR ☐ Retailers in Boats ☐ Wholesalers OR ☐ Retailers in Race-horses ☐ Wholesalers OR ☐ Retailers in Jewellery
- ☐ Money Service Businesses (MSB) & their agents (excluding Banks) ☐ Currency dealers or exchanges ☐ Sellers or redeemers of traveler's cheques  
☐ Money orders / remittance services
- ☐ Pawn shops ☐ Street market stall ☐ Hotels ☐ Restaurants ☐ Internet cafés ☐ Door-to-door sales companies ☐ Taxi ☐ Bars ☐ Night-clubs  
☐ Second-hand goods sales ☐ Second-hand vehicle dealers (excluding automobile franchise)
- ☐ Casinos ☐ Lotteries ☐ Gaming clubs ☐ Slot machines ☐ Antiques ☐ Art galleries ☐ Art dealers ☐ Auctioneer ☐ Art expert

**3. DETAILS OF SECOND APPLICANT, If any**

**a. Occupation Details [Please tick (✓)]** ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife ☐ Business  
☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others (please specify) \_\_\_\_\_

**b. Gross Annual Income (Rs.)** ☐ Below 1 Lakh ☐ 1 - 5 Lakhs ☐ 5 - 10 Lakhs ☐ 10 - 25 Lakhs ☐ >25 Lakhs - 1 Crore ☐ >1 Crore OR Net worth Rs. \_\_\_\_\_

**c. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

**d. Investors involved/ providing any of the mentioned services**

- ☐ Wholesalers OR ☐ Retailers in Precious metals (in particular buying-selling gold) and gems ☐ Wholesalers OR ☐ Retailers in Luxury cars  
☐ Wholesalers OR ☐ Retailers in Boats ☐ Wholesalers OR ☐ Retailers in Race-horses ☐ Wholesalers OR ☐ Retailers in Jewellery
- ☐ Money Service Businesses (MSB) & their agents (excluding Banks) ☐ Currency dealers or exchanges ☐ Sellers or redeemers of traveler's cheques  
☐ Money orders / remittance services
- ☐ Pawn shops ☐ Street market stall ☐ Hotels ☐ Restaurants ☐ Internet cafés ☐ Door-to-door sales companies ☐ Taxi ☐ Bars ☐ Night-clubs  
☐ Second-hand goods sales ☐ Second-hand vehicle dealers (excluding automobile franchise)
- ☐ Casinos ☐ Lotteries ☐ Gaming clubs ☐ Slot machines ☐ Antiques ☐ Art galleries ☐ Art dealers ☐ Auctioneer ☐ Art expert



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**4. DETAILS OF THIRD APPLICANT, If any**

**a. Occupation Details [Please tick (✓)]** ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional ☐ Housewife ☐ Business  
☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others \_\_\_\_\_ (please specify)

**b. Gross Annual Income (Rs.)** ☐ Below 1 Lakh ☐ 1 - 5 Lakhs ☐ 5 - 10 Lakhs ☐ 10 - 25 Lakhs ☐ >25 Lakhs - 1 Crore ☐ >1 Crore **OR** Net worth Rs. \_\_\_\_\_

**c. Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) ☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

**d. Investors involved/ providing any of the mentioned services**

- |  |  |
|--|--|
| <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Precious metals (in particular buying-selling gold) and gems  | <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Luxury cars |
| <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Boats   | <input type="checkbox"/> Wholesalers <b>OR</b> <input type="checkbox"/> Retailers in Jewellery   |
| <input type="checkbox"/> Money Service Businesses (MSB) & their agents (excluding Banks)   | <input type="checkbox"/> Currency dealers or exchanges   |
| <input type="checkbox"/> Money orders / remittance services  | <input type="checkbox"/> Sellers or redeemers of traveler's cheques                              |
| <br>   |  |
| <input type="checkbox"/> Pawn shops <input type="checkbox"/> Street market stall <input type="checkbox"/> Hotels <input type="checkbox"/> Restaurants <input type="checkbox"/> Internet cafés <input type="checkbox"/> Door-to-door sales companies <input type="checkbox"/> Taxi <input type="checkbox"/> Bars <input type="checkbox"/> Night-clubs |  |
| <input type="checkbox"/> Second-hand goods sales <input type="checkbox"/> Second-hand vehicle dealers (excluding automobile franchise)   |  |
| <br>   |  |
| <input type="checkbox"/> Casinos <input type="checkbox"/> Lotteries <input type="checkbox"/> Gaming clubs <input type="checkbox"/> Slot machines <input type="checkbox"/> Antiques <input type="checkbox"/> Art galleries <input type="checkbox"/> Art dealers <input type="checkbox"/> Auctioneer <input type="checkbox"/> Art expert               |  |

**DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

\_\_\_\_\_  
First / Sole Applicant / Guardian

\_\_\_\_\_  
Second Applicant

\_\_\_\_\_  
Third Applicant

**INSTRUCTIONS**

In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)\* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for all applicants) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

\*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/Judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.